

A topology can be generated by  $\mathcal{S} \subset \mathcal{P}(X)$  in two steps.

Step 1: Taking finite intersections  $\rightarrow \mathcal{B}$

Step 2: Taking arbitrary unions from  $\mathcal{B}$

Examples.

$$\mathcal{S} = \{(-\infty, b) : b \in \mathbb{R}\} \cup \{(a, \infty) : a \in \mathbb{R}\} \rightarrow \mathcal{I}_{\text{std}}$$

$$\mathcal{S} = \{(a, b) : a < b \in \mathbb{R}\} \longrightarrow \mathcal{I}_{\text{std}}$$

$$\mathcal{S} = \{[a, b) : a < b \in \mathbb{R}\} \longrightarrow \mathcal{I}_{\text{le}}$$

But, the 2<sup>nd</sup> & 3<sup>rd</sup> are actually a base  
i.e. Step 1 is not needed

Theorem.

$\mathcal{S} \subset \mathcal{P}(X)$  is a base for a topology if

(i)  $\emptyset, X \in \mathcal{S}$

(ii) For each  $U, V \in \mathcal{S}$  and  $x \in U \cap V$ ,  
 $\exists W \in \mathcal{S}, x \in W \subset U \cap V$

Remark.

The two bases in the example above only satisfy (ii) but not (i).

\* This theorem is not if-and-only-if

\* Is (i)  $\phi, X \in \mathcal{S}$  really essential?

$\phi = \cup \phi$  can be created by union,  
so  $\phi \in \mathcal{S}$  may be omitted

$\{(a, b) : a < b < 100\}$  still satisfies (ii)  
but unions at most give  $(-\infty, 100) \neq \mathbb{R}$   
Thus,  $X \in \mathcal{S}$  is needed.

**Proof** of Theorem.

Our task is just define  $\mathcal{J} = \{ \cup \mathcal{A} : \mathcal{A} \subset \mathcal{S} \}$   
and verify the two rules of topology, i.e.,  
closed under arbitrary union and finite intersection.

For **arbitrary union**, it is a union of unions.

For **finite intersection**, let  $\cup \mathcal{A}_1, \cup \mathcal{A}_2 \in \mathcal{J}$ .  
i.e.  $\mathcal{A}_1 = \{ P_\alpha : \alpha \in I \}$ ,  $\mathcal{A}_2 = \{ Q_\beta : \beta \in J \} \subset \mathcal{B}$

Consider  $Z = (\cup \mathcal{A}_1) \cap (\cup \mathcal{A}_2) = \cup_{\alpha, \beta} (P_\alpha \cap Q_\beta)$

For each  $x \in Z$ ,  $\exists \alpha, \beta$   $x \in P_\alpha \cap Q_\beta$

By assumption (ii)  $\exists W_x \in \mathcal{S}$   $x \in W_x \subset P_\alpha \cap Q_\beta$

Clearly  $Z \subset \cup_{x \in Z} W_x \subset \cup_{\alpha, \beta} (P_\alpha \cap Q_\beta) = Z$

Thus  $Z$  is also in  $\mathcal{J}$ .

In the above, we ask if a set  $\mathcal{S} \subset \mathcal{P}(X)$  is **qualified** to make a topology, without knowing which topology.

**Another question.**

Given a **known** topology  $\mathcal{J}$  and  $\mathcal{B} \subset \mathcal{P}(X)$

**how** do we know if  $\mathcal{B}$  is a base for  $\mathcal{J}$

**Theorem**

$\mathcal{B}$  is a base for  $\mathcal{J}$ , i.e.,  $\mathcal{J} = \{ \cup A : A \subset \mathcal{B} \}$   
 $\Leftrightarrow \forall G \in \mathcal{J}$  and  $x \in G$ ,  $\exists U \in \mathcal{B}$ ,  $x \in U \subset G$

**Quick thought proof**

" $\Rightarrow$ " For  $x \in \cup A \in \mathcal{J}$ ,  $\exists U \in A \subset \mathcal{B}$   $x \in U$

" $\Leftarrow$ " similar to the last step in previous theorem

**Definition.** Let  $x \in X$ .

A **local base** (or **nbhd base**) at  $x$

is a collection  $\mathcal{U}_x \subset \mathcal{J}$  such that

$\forall$  nbhd  $N$  of  $x$ ,  $\exists U \in \mathcal{U}_x$

$x \in U \subset N$

**Examples.**

\* For metric spaces,  $\mathcal{U}_x = \{ B(x, \frac{1}{n}) : 1 \leq n \in \mathbb{Z} \}$

\* For  $\mathbb{R}$ ,  $\mathcal{J}_{\text{std}}$ ,  $\mathcal{U}_x = \{ [x, x+\varepsilon) : \varepsilon > 0 \}$

**Exercise.**  $\{U_x : x \in X\}$  form a base for  $\mathcal{J}$ .

**Example.**

\* In the case of metric spaces, at each  $x \in X$

$\{B(x, \frac{1}{k}) : 1 \leq k \in \mathbb{Z}\}$  is countable

\* For  $X = \mathbb{R}^n$ ,  $\mathcal{J}_{std}$ , the situation is better

$\{B(q, \frac{1}{k}) : 1 \leq k \in \mathbb{Z}, q \in \mathbb{Q}^n\}$

is a countable base

**Definition.** A topological space  $(X, \mathcal{J})$  is of

\* 1<sup>st</sup> countable ( $C_I$ ) if at each  $x \in X$ ,

there is a countable local base

\* 2<sup>nd</sup> countable ( $C_{II}$ ) if there is

a countable base for  $\mathcal{J}$ .